



Initial Substance Use Disorder (SUD) Authorization Form

American Society of Addiction Medicine (ASAM) Levels 2.1/3.1/3.3/3.5/3.7

Please fax this form along with supporting clinical documentation to 970-257-3986
PLEASE TYPE INFORMATION IN THIS FORM – MUST BE COMPLETED BY CREDENTIALLED ADDICTION
TREATMENT PROFESSIONAL

MEMBER INFORMATION

Member Name:	DOB:
Member ID:	

PROVIDER INFORMATION

Facility Name:	UM Name:
Address:	UM Phone:
City State Zip:	UM Email:
Phone:	PHY Name & Phone:
ESTIMATED SERVICE START DATE:	Provider ID/NPI:
ESTIMATED END DATE OF TREATMENT:	Fax:

ICD-10 DIAGNOSIS CODE(S)
(Enter primary and any applicable co-occurring ICD-10 diagnosis codes)

1.	3.	5.
2.	4.	6.

SUBSTANCE USE DISORDER TREATMENT HISTORY
(Describe other ASAM Levels of Care utilized in past 12 months) (OR ATTACH IN CLINICAL NOTE)

ASAM Level of Care	Name of Provider	Duration	Approximate Dates	Outcome

MEDICATION

Please list medications, dosage, frequency and prescriber below (OR ATTACH MEDICATION LIST). N/A Unable to Obtain

Name of Medication	Dosage	Frequency	Prescriber



ASAM LEVEL OF CARE REQUESTED AND NUMBER OF UNITS (1 unit = 1 day)

Description Check Appropriate Code		Units	Description Check Appropriate Code		Units
<input type="checkbox"/>	ASAM 2.1 Intensive Outpatient		<input type="checkbox"/>	ASAM 3.5 Clinically Managed Medium Intensity (Adolescent)	
<input type="checkbox"/>	ASAM 3.1 Clinically Managed Low-Intensity Residential Services		<input type="checkbox"/>	ASAM 3.7 Medically Monitored Intensive Inpatient Services (Adults)	
<input type="checkbox"/>	ASAM 3.3 Clinically Managed Population-Specific High-Intensity Residential Services		<input type="checkbox"/>	ASAM 3.7 Medically Monitored High Intensity Inpatient Services (Adolescent)	
<input type="checkbox"/>	ASAM 3.5 Clinically Managed High Intensity Residential Services (Adults)		<input type="checkbox"/>		

ASSESSMENT AND SCORING

DIMENSION 1 | Acute Intoxication and/or Withdrawal Potential

<input type="checkbox"/>	No withdrawal
<input type="checkbox"/>	Minimal Risk of severe withdrawal (ASAM Level 2.1)
<input type="checkbox"/>	Moderate risk of severe withdrawal (ASAM Level 2.5)
<input type="checkbox"/>	No withdrawal risk, or minimal or stable withdrawal (ASAM Level 3.1)
<input type="checkbox"/>	At minimal risk of severe withdrawal (ASAM Level 3.3 or 3.5)
<input type="checkbox"/>	ASAM LEVEL 3.7 ONLY: Patient has the potential for life threatening withdrawal (must meet at least two of the six dimensions, at least one of which is within dimension 1, 2, or 3)
<input type="checkbox"/>	ASAM LEVEL 4.0 ONLY: Patient has life threatening withdrawal symptoms, possible or experiencing seizures or DT's or other adverse reactions are imminent

Provide brief summary of the member's needs/strengths for Dimension 1 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):
For members with an Opioid Use Disorder, please describe the plan to offer medication assisted treatment (MAT):

ASAMA Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.

DIMENSION 2 | Biomedical Conditions/Complications

<input type="checkbox"/>	None or not sufficient to distract from treatment (ASAM Level 2.1 or 2.5)
<input type="checkbox"/>	None/stable or receiving concurrent treatment – moderate stability (3.1, 3.3, 3.5)
<input type="checkbox"/>	Require 24-hour medical monitoring, but not intensive treatment (3.7)
<input type="checkbox"/>	ASAM LEVEL 4.0 ONLY: Severe instability requires 24-hour medical care in licensed medical facility. May be the result of life-threatening withdrawal or other co-morbidity

Provide brief summary of the member's needs/strengths for Dimension 2 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):

ASAM Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.



DIMENSION 3 | Emotional/Behavioral/Cognitive Conditions

<input type="checkbox"/>	None or very stable (ASAM Level 1.0)
<input type="checkbox"/>	Mild severity, with potential to distract from recovery; needs monitoring (ASAM Level 2.1)
<input type="checkbox"/>	Mild to moderate severity; with potential to distract from recovery; needs to stabilize (ASAM Level 2.5)
<input type="checkbox"/>	Non or minimal; not distracting to recovery (ASAM Level 3.1)
<input type="checkbox"/>	Mild to moderate severity; needs structure to focus on recovery (ASAM Level 3.3)
<input type="checkbox"/>	Demonstrates repeated inability to control impulses, or unstable with symptoms requiring stabilization (ASAM Level 3.5)
<input type="checkbox"/>	Moderate severity needs 24-hour structured setting (ASAM Level 3.7)
<input type="checkbox"/>	Severely unstable requires 24-hour psychiatric care (ASAM Level 4.0)

Provide brief summary of the member's needs/strengths for Dimension 3 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):

ASAM Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.

DIMENSION 4 | Readiness to Change

<input type="checkbox"/>	Readiness for recovery but needs motivating and monitoring strategies to strengthen readiness, or needs ongoing monitoring and disease management (ASAM Level 1.0)
<input type="checkbox"/>	Has variable engagement in treatment, lack of awareness of the seriousness of substance use and/or coexisting mental health problems. Requires treatment several times per week to promote change (ASAM Level 2.1)
<input type="checkbox"/>	Has variable engagement in treatment, lack of awareness of the seriousness of substance use and/or coexisting mental health problems. Requires treatment almost daily to promote change (ASAM Level 2.5)
<input type="checkbox"/>	Open to recovery but requires structured environment (ASAM Level 3.1)
<input type="checkbox"/>	Has little awareness of need for change due to cognitive limitations and addition and requires interventions to engage to stay in treatment (ASAM Level 3.3)
<input type="checkbox"/>	Has marked difficulty with treatment or opposition due to functional issues or ongoing dangerous consequences (ASAM Level 3.5)
<input type="checkbox"/>	Poor impulse control, continues to use substances despite severe negative consequences (medical, physical or situational) and requires a 24-hour structured setting (ASAM Level 3.7)

Provide brief summary of the member's needs/strengths for Dimension 4 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):

ASAM Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.



DIMENSION 5 | Relapse, Continued Use or Continued Problem Potential

	Minimal support required to control use, needs support to change behaviors (ASAM Level 1.0)
	High likelihood of relapse/continued use or addictive behaviors, requires services several times per week (ASAM Level 2.1)
	Intensification of addition and/or mental health issues and has not responded to active treatment provided in a lower levels of care. High likelihood of relapse, requires treatment almost daily to promote change (ASAM Level 2.5)
	Understands relapse but needs structure (ASAM Level 3.1)
	Has little awareness of need for change due to cognitive limitations and addition and requires interventions to engage to stay in treatment (ASAM Level 3.3)
	Does not recognize the severity of treatment issues, has cognitive and functional deficits (ASAM Level 3.5)
	Unable to control use, requires 24-hour supervision, imminent dangerous consequences (ASAM Level 3.7)

Provide brief summary of the member's needs/strengths for Dimension 5 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):

ASAM Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.

DIMENSION 6 | Recovery/Living Environment

	Supportive recovery environment and patient has skills to cope with stressors (ASAM Level 1.0)
	Not a fully supportive environment but patient has some skills to cope (ASAM Level 2.1)
	Not a supportive environment but can find outside supportive environment (ASAM Level 2.5)
	Environment is dangerous, patient needs 24-hour structure to learn to cope (ASAM Level 3.1 or 3.3)
	Environment is imminently dangerous; patient lacks skills to cope outside of a highly structured environment (ASAM Level 3.5 or 3.7)

Provide brief summary of the member's needs/strengths for Dimension 6 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):

ASAM Level:

Provide supporting clinical documentation to support your assessment in this dimension and your recommended ASAM Level of Care.

For members with an Opioid Use Disorder, your signature indicates that the provider has:

- Educated the member that MAT is the standard of care;
- Performed an assessment that specifically addresses MAT with specific recommendations; and
- Documented how member will receive access to MAT for both withdrawal management and maintenance, including coordination of access when clinically indicated.

SIGNATURE OF STAFF COMPLETING THE FORM

Name (print):

Signature/Credential: **Date:**